

PCW access to data

Solution description for Modification 0593 and 095 workgroups

This paper has been prepared at the request of joint Modification 0593 and 095 workgroup and DSC Change Committee members.

Parties have requested information on the technical solution for providing PCWs access to data, to enable support of permission Modifications 0593 and 095, which are being considered at iGT UNC Panel on 19th July 2017 and UNC Panel on 20th July 2017.

1. Introduction

The CMA Energy Market Investigation led to an Order on Xoserve to provide PCW with access to data.

The order states;

- 4.1. Xoserve must give PCWs access to DES upon written request, and subject to the satisfaction of reasonable access conditions.
- 4.2. Gas Transporters must not take any action that would prevent Xoserve from giving access to DES upon written request and subject to the satisfaction of reasonable access conditions.
- 4.3. Gas Transporters must use their best endeavors to ensure that a modification proposal concerning any necessary amendments to the UNC to reflect the obligation in Article 4.1 is approved and implemented as soon as reasonably practicable after the date of this Order.

PCW have outlined their requirement for a machine to machine service. Other solution options such as Data Enquiry Service are not viable without significant change to meet the Order requirements.

The CMA on 12th June 2017 confirmed an API service would meet the intent of the CMA DES order 2016.

UNC and iGT UNC modifications 0593 and 095 have been raised to create the permission in the codes for the release of data to PCW.

2. PCW access conditions

The Energy Market Investigation Explanatory note, sets out PCW access conditions to the service, the link can be found here;

https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/585020/energy-market-ECOES-DES-order-explanatory-note.pdf

Note: as per the confirmation from the CMA on 12th June 2017, the provision of data via an API service meets the intent of the Order.

Relevant paragraphs are as below;

- 6. The DES Remedy involves (a) a requirement on Xoserve to give PCWs access to the gas Data Enquiry Service (DES)¹ upon written request, and subject to satisfaction of reasonable access conditions; and (b) a requirement on Gas Transporters not to take any action that would prevent Xoserve from giving such parties access to DES on reasonable access conditions

- 33...The CMA expects that, when assessing compliance with the requirement to give PCWs access on reasonable terms and subject to the satisfaction of reasonable access conditions, Xoserve will consider issues such as:
 - (a) Compliance with data protection legislation;
 - (b) The confidential nature of the information;
 - (c) restrictions concerning access and the use of DES by PCWs (e.g. requirements to use the data only for the purposes of customer switching, and restrictions so that access is only made on behalf of a current consumer that is using a PCW in relation to a switch being initiated via the PCW);
 - (d) The need to put in place appropriate audits to ensure that PCWs have appropriate organisational and technological processes and procedures in place to keep the data secure; and
 - (e) The need to ensure that any fees charged to PCWs are justified by incremental costs, and that any incremental costs incurred in providing PCWs access to ECOES are justified.

3. Service provision to PCW via an Application Programme Interface (API)service

Xoserve intends to provide the data provision service to PCWs via an API service.

The following API core principles and conditions will apply to any API design that will provide PCWs access to gas data.

3.1 Overview

An API is a set of requirements and specifications that governs how software programs can communicate with each another. An API connects business processes, services, content and data in an easy and secure way enabling companies to exchange data and build consistent cross-channel customer experiences, under a specific set of functions and variables.

A dataset will be prepared against which PCWs can make API calls. This dataset will comprise only of data PCWs are permitted to access.

This API will be set up to enable PCWs to make real time calls on Gas data as required during or following consumer contact on their website.

3.2 User Logins

Subject to a set of upfront validations, each PCW will be provided a unique API key. The subscriber will be required to provide the unique API key within the header of each web method request. Upon receipt, the service will authenticate the API Key to an individual API key. If the key is not recognised it will respond with an error message.

3.3 Data Partitioning

The API service works using bespoke service datasets.

A dataset will be created for PCW access services. The dataset will comprise of supply point data for domestic supply points only. The dataset will be sourced from the UK Link system and will use the Market Sector Code as the means of compiling the domestic supply point data. This meets the Order requirement of limiting PCW access to domestic consumer data only.

3.4 API tracking

For monitoring and auditing of PCW usage of the API service, every PCW enquiry of an; MPRN, address, date & time will be captured and held by the system. This information will be utilised to select calls for the purpose of PCW audits. Information on PCW volumes will also be tracked for monitoring purposes.

4. PCW access controls and conditions for an API service

4.1 Management of data accessible by PCWs

PCWs as per the CMA order are able to access data for the specific purpose of retrieving supply point information for a domestic consumer who has consented to the access of their data by a PCW in the act of seeking to effect a switch of provider ("**Specific Purpose**").

The contractual and technical arrangements, for the Xoserve API service, have been scoped to meet the PCW access conditions.

Please note Xoserve will monitor contracts, for renewal of contracts or to seize existing access to the service as a result of contract completion. Where contracts are to be renewed, the validations as listed below will be completed again and the relevant parties will be notified of the outcomes.

4.2 Controls

4.2.1 Validations

PCWs may have access to an API service, subject to compliance with the following upfront physical, manual validations undertaken by Xoserve;

- Being a registered company under Companies House or registered with the HMRC as a Sole Trader

- Registered with the ICO as a data controller, with appropriate storage, retention and deletion policies demonstrating organisational and technological processes and procedures are in place to keep the data secure
- Demonstrate they serve the domestic market and an 'opt in' clear statement of consent on the PCWs website (Xoserve will complete physical checks on PCW websites to ensure an tick box is available for consumers to actively tick), to satisfy the 'Specific purpose' for which data is accessed i.e. for a Domestic Customer who has consented to the access of their data
- Being ISO27001 compliant or demonstrate they have equivalent accredited protections in place to ensure appropriate levels of security for data being accessed and stored.

For the avoidance of doubt, if a PCW fails any of these validations then they would not be permitted access to an API service, as they would not satisfy reasonable access conditions.

4.2.2 Audits

The API tracking functionality captures every API enquiry made by a PCW. Retaining detail of the; enquiring PCW, enquired supply point and the date and time of the enquiry.

The API service enables reporting functionality of this data. This will facilitate audits to;

- Ensure PCWs are complying with principles of the CMA order and contractual arrangements by obtaining consent from the customer to; use the data only for the purposes of customer switching.
- PCW continues to have appropriate organisational and technological processes and procedures in place to comply with contractual arrangements and keep the data secure, including measures to ensure data accessed is not shared.

The API service has functionality for the immediate termination of PCW access to the API query, should there be a requirement to do so.

4.3 General Data Protection Regulation (GDPR)

The General Data Protection Regulation (GDPR) is European legislation which will come into force on 25th May 2018.

Accountability is an integral part of GDPR. Strongly linked to accountability is the requirement to show the legal basis for processing of each piece of personal data.

4.3.1 API solution - compliance with GDPR

The API service will be developed to ensure compliance with the GDPR. For example, the functionality will enable auditing and documenting consent for all personal data, with easy review of where it comes from and who we give it to and recording the legal basis for which we do so. This functionality as scoped will enable compliance with the GDPR requirements.